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Recharacterization Notice and Transfer Direction

INSTRUCTIONS: Please complete this form where applicable. In addition, please be sure to read this entire form prior to completion to avoid any processing delays. By signing this form, you consent to all terms and conditions outlined in this document and reaffirm the terms and conditions in your Account Adoption Agreement.

SECTION 1 - Account Holder Information

Name: Social Security Number:
 Address:

SECTION 2 - Transfer Instructions

I hereby instruct transfer of the funds specified below as a recharacterization:

From (First) IRA Account Number: To (Second) IRA Account Number:
 Contribution Tax Year: Amount of Contribution to Transfer Out: \$ Amount of Initial Contribution: \$
 Earnings (Losses): \$ Total Amount to Transfer Out: \$ (Reported on IRS Form 1099-R)
 Cash In-Kind Describe Asset If In-Kind:

SECTION 3 - General Provisions & Signature

By signing below, the Account Holder certifies, acknowledges and understands the following:

1. The contribution and earnings identified above are directed to be transferred in a recharacterization transfer from the first IRA to the second IRA.
2. The above information is true and correct, including the Social Security Number.
3. The contribution and earnings are being transferred no later than the deadline for filing the federal income tax return, including extensions, for the taxable year for which the regular contribution was originally made to the first IRA.
4. The Account Holder assumes full responsibility for any tax consequences that may arise as a result of this Recharacterization Notice and Transfer Direction.
5. No tax advice was given by Kingdom Trust for either the first IRA or second IRA.
6. All decisions regarding this request are the participant's own.
7. Kingdom Trust, custodian of the first IRA, is required to report this transfer to the IRS on Form 1099-R, and the trustee/custodian of the second IRA or its third party administrator is required to report this transfer to the IRS on Form 5498.
8. The participant is responsible to report this recharacterization transfer on IRS Form 8606 in accordance with applicable instructions.
9. Kingdom Trust recommended the Account Holder seek advice from a tax advisor or the Internal Revenue Service to make certain that the Account Holder qualifies for this recharacterization.
10. This Recharacterization Notice cannot be revoked.

Account Holder Signature: Date:
 Account Holder Printed Name:

Kingdom Trust does not provide tax, legal or investment advice. It does not endorse or recommend any agent, company or specific investment. Any information communicated by Kingdom Trust is solely for educational purposes and should not be construed as tax, legal or investment advice. Consultations with tax, legal and investment professionals is advised prior to making any decisions regarding your account.

